

In re application of :
Noriyuki SHIMIZU : **Mail Stop: PCT**
Serial No. NEW : Attorney Docket No. 2006_1145A
Filed July 17, 2006 :

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicant requests consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ [X] each U.S. Patent and U.S. Patent application publication;
- ☐ [] each reference previously cited in the international application PCT/_____; and/or
- ☐ [] each reference previously cited in prior parent application Serial No. _____.

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE.

ATTACHMENT "D"

and thus no certification and/or fee is required.

- 1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) ☐ the certification of paragraph 2 below is provided, or
(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

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4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☒ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☒ the concise explanation contained in the specification of the present application at page 2,
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:

U.S. Patent Publication Application No. 2002/007304 and EP 1160705 correspond to JP 2002-56160; and

U.S. Patent No. 6,163,693 and WO 99/31908 correspond to JP 2002-509405.

5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Noriyuki SHIMIZU

By



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INFORMATION DISCLOSURE STATEMENT

10586354-001/2006

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: July 17, 2006

ATTY DOCKET NO.
2006_1145A

SERIAL NO.
NEW

10586354

APPLICANT
Noriyuki SHIMIZU

FILING DATE
July 17, 2006

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/D.S.E./	AA	2002/0007304	1/2002	Kasajima et al.			
/D.S.E./	AB	6,163,693	12/2000	Rydbeck			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
/D.S.E./	AJ	2002-56160	2/2002	JP			Abstract
/D.S.E./	AK	1 160 705	12/2001	EP			
/D.S.E./	AL	2003-44607	2/2003	JP			Abstract
/D.S.E./	AM	2000-259728	9/2000	JP			Abstract
/D.S.E./	AN	2002-509405	3/2002	JP			3/2002
/D.S.E./	AO	99/31908	6/1999	WO			
/D.S.E./	AP	8-224351	9/1996	JP			Abstract
/D.S.E./	AQ	2002-345051	11/2002	JP			Abstract

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

/David Easwaran/

DATE CONSIDERED

04/21/2009

*EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.